

IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

ST. PAUL MERCURY INSURANCE COMPANY,

CIVIL ACTION NO. 02-3511

Plaintiff,

v.

PHILADELPHIA HOUSING AUTHORITY,

Defendant.

INITIAL DISCLOSURES OF DEFENDANT PHILADELPHIA HOUSING AUTHORITY PURSUANT TO FED R. CIV. P. 26(a)(1)

Pursuant to Fed. R. Gv. P. 26(a)(1), Defendant Philadelphia Housing Authority ("PHA"), by its undersigned counsel, submits its initial required disclosures.

A. Individuals Likely to Have Discoverable Information

PHA identifies the following individuals likely to have discoverable information that it may use to support its claims or defenses, and reserves the right to supplement or amend this list of individuals, as appropriate, in accordance with Fed. R. Civ. P. 26(e):

1. Ulise Rivera (deceased) PHA Project Manager

2. Sheila A. Maxwell PHA Contracting Officer

3. Larry Woods Former PHA HOPE VI Program Manager

> (now employed by Wilmington Housing Authority)

SUBJECT OF INFORMATION

PHA supervision and management of the Richard Allen Homes public housing construction project (the "Project); work performed by general contractor San Lucas Construction Company, Inc. ("San Lucas") and other prime contractors; progress of the Project, delays and completion of work; San Lucas payments to subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation notice of default and termination of contractor.

Issuance of payment and performance bonds by Plaintiff St. Paul Mercury Insurance Company ("St. Paul") to San Lucas; General Agreement of Indemnity; PHA contract with San Lucas; PHA supervision management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays completion of work; San Lucas payments to suppliers and subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation notice of default and termination of contractor.

PHA supervision and management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays and completion of work; San Lucas payments suppliers to subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and subsequent events, including limitation notice of default and termination of contractor.

4. Greg Hampson PHA Project Engineer

5. Tim Trzaska Former PHA Project Engineer

6. James A. Fratoni PHA Project Engineer

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7. Len Trower PHA Contract Administrator

8. Albert J. Novack PHA Contracts Director

9. Galo Gutierrez President, San Lucas 534 South 15th Street Phila., PA 19146

SUBJECT OF INFORMATION

PHA contract with San Lucas; PHA supervision and management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays and completion of work; San Lucas payments to suppliers and subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation notice of default and termination of contractor.

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St. Paul's issuance of payment performance bonds to San Lucas; General Agreement of Indemnity; PHA contract; PHA supervision and management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays and completion of work; San Lucas payments suppliers to subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation notice of default and termination of contractor.

- 10. Urkia Hernandez Vice President, San Lucas 534 South 15th Street Phila., PA 19146
- 11. Clinton Biddle
 San Lucas
 534 South 15th Street
 Philadelphia, PA 19146

- 12. De Nofa Construction Representative (possibly Cyryl Edwards) 1137 E. Venango Street Philadelphia, PA 19134
- 13. Nu-Mor Electric
 Representative
 (possibly Leonard Nucero, Jr.
 or Jack Balawich)
 2201 Hunter Road
 Philadelphia, PA 19134

SUBJECT OF INFORMATION

St. Paul's issuance of payment and performance bonds to San Lucas; General Agreement of Indemnity.

PHA supervision and management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays and completion of work; San Lucas payments to suppliers subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and subsequent events, including limitation notice of default and termination of contractor.

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- 14. James J. Gory Mechanical Contracting, Inc. Representative (possibly Jim Gory or Joe Mastrangelo) 4692 York Road P.O. Box 580 Buckingham, PA 18912
- 15. Ross-Araco Corporation Representative (possibly Richard Widmeier or Alan J. Ross) 4110 Butler Pike Suite A-105 Plymouth Meeting, PA 19462
- 16. Delta Removal, Inc. Representative (possibly Dennis Glancey) 1345 Industrial Highway Southampton, PA 18966
- 17. Arena Construction Representative (Address to be provided)
- 18. Bob Kahan Contract Completion, Inc. ("CCI") 33 Rock Hill Road Bala Cynwyd, PA 19004

SUBJECT OF INFORMATION

PHA supervision and management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays and completion of work; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation delays and completion of work on the Project.

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19. NDK Contractors, Inc. ("NKD")
Representative
(possibly Dave Kamenish)

20. Chris Ruck Plaintiff St. Paul

SUBJECT OF INFORMATION

Work performed by San Lucas and other prime contractors; progress of the Project and completion of work; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation notice of default and termination of contractor; selection process for contractor to complete project; NDK contract with St. Paul; delays and completion of work on the Project.

Issuance of payment and performance bonds by Plaintiff St. Paul Mercury Insurance Company ("St. Paul") to San Lucas; General Agreement of Indemnity, PHA contract with PHA supervision Lucas: management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays completion of work; San Lucas payments to suppliers and subcontractors; PHA payments to San Lucas and retention of progress payments; meetings with PHA and San Lucas; St. Paul takeover of San Lucas-PHA contract and subsequent events, including without limitation notice of default and termination of contractor; performance under bonds, selection process for contractor to complete project; NDK contract with St. Paul; delays and completion of work on the Project.

21. Christine Alexander, Esquire Plaintiff St. Paul

- 22. William A. Manginelli Trauner Consulting Services (Expert retained by St. Paul)
- 23. Wallace Roberts & Todd (probably Dave Stembel) 260 South Broad St Philadelphia, PA 19102-5021

SUBJECT OF INFORMATION

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All facts, opinions and conclusions set forth in any and all reports issued by Trauner Consulting Services in connection with the Project, St. Paul's takeover of the PHA-San Lucas contract, and this litigation.

PHA supervision and management of the Project; work performed by San Lucas and other prime contractors; progress of the Project, delays and completion of work; San Lucas payments to suppliers subcontractors; PHA payments to San Lucas and retention of progress payments; St. Paul takeover of San Lucas-PHA contract and including subsequent events, limitation notice of default and termination of contractor.

B. <u>Documents</u>, <u>Data</u>, <u>Compilations and Tangible Things</u>

PHA describes the following documents, data, compilations and tangible things that are in its possession, custody or control which it may use to support its claims or defenses, and reserves the right to supplement or amend this list of documents as appropriate, in accordance with Fed. R. Civ. P. 26(e):

- 1. Correspondence between St. Paul and PHA, 1997-2000;
- 2. Correspondence between St. Paul and San Lucas (and Gutierrez and Hernandez), 1997-2000;
- 3. Correspondence between PHA and San Lucas, 1997-2000;
- 4. Project procurement materials, bid package, bids;
- 5. Contract Agreement between PHA and San Lucas (including all component parts identified in Article 5), including without limitation all modifications and change orders;
- 6. General Agreement of Indemnity between St. Paul and San Lucas, Gutierrez, Hernandez;
- 7. St. Paul Payment and Performance Bonds;
- 8. Project plans and schedules;
- 9. U.S. Army Corps of Engineers Construction Management Reports, including without limitation all attached materials and Project completion information;
- 10. San Lucas Requests for Payment, including without limitation San Lucas certifications regarding Project completion;
- 11. PHA Daily Project Progress Reports, Job Progress Meetings (including without limitation all related materials and minutes of meetings), Scheduling and Progress Charts;
- 12. Correspondence between San Lucas and Project subcontractors and suppliers;
- 13. Correspondence between St. Paul and Project subcontractors and suppliers;

- 14. St. Paul payments to Project subcontractors and suppliers;
- 15. Documents relating to meetings or communications between St. Paul, PHA and/or San Lucas regarding Project performance;
- 16. St. Paul Project Takeover Agreement and related materials;
- 17. PHA estimates of Project work to be completed and cost;
- 18. St. Paul (including without limitation CCI and/or NDK) estimates of Project work to be completed and cost;
- 19. Documents relating to meetings and/or communications between St. Paul and Bob Kahan (including without limitation any other representative of CCI);
- 20. Documents relating to meetings and/or communications between St. Paul and NDK;
- 21. St. Paul procurement materials for Project completion, bids, acceptance and/or rejection;
- 22. Documents relating to NDK completion of work on Project and payment from St. Paul to NDK;
- 23. St. Paul videotapes of Project (also converted into CD-ROMs);
- 24. Photographs of Project;
- 25. St. Paul Expert Report from Trauner Consulting Services;
- 26. Deposition transcripts (and all attached exhibits) from related case <u>San Lucas v. PHA</u>, Phila. CCP, February Term 2000, No. 2190 (including without limitation U. Rivera, G. Gutierrez, C. Alexander, B. Kahan).
- 27. Pleadings, discovery responses and documents exchanged in related case <u>San Lucas v. PHA</u>, Phila. CCP, February Term 2000, No. 2190.

C. <u>Computation of Damages</u>

PHA denies that St. Paul is entitled to any damages in this action.

D. Insurance Information

Not applicable.

BLANK ROME COMISKY & MCCAULEY LLP

BY:

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(215) 569-5500

Attorneys for Defendant, Philadelphia Housing Authority

Dated: September 24, 2002

CERTIFICATE OF SERVICE

I certify that a true and correct copy of the foregoing Initial Disclosures of Defendant Philadelphia Housing Authority Pursuant to Fed. R. Civ. P. 26(a)(1) was served this 24th day of September, 2002 by facsimile and first class mail upon counsel of record as noted below:

William J. Devlin, Jr., Esquire Devlin & Devine 100 West Elm Street, Suite 200 Conshohocken, PA 19428

James Dunbar, Esquire Paul F. Strain, Esquire Venable Baetjer & Howard, LLP 1800 Merchantile Bank & Trust B 2 Hopkins Plaza Baltimore, MD 21201-2978

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